

Town of Albion  
July 11, 2007 Posting Date

## Request for Proposals Notification

***Project Location:*** Town of Albion – Noble County Courthouse Square including the one block segments of Main Street, Orange Street, Jefferson Street, and York Street.

***Response Due Date and Time:*** July 23, 2007 and no later than 4:00 pm (EDT time)

This Request for Proposals (RFP) is official notification of needed professional services. This RFP is being issued to solicit a Letter of Interest (LoI) and other documents from firms qualified to perform engineering work on federal aid projects. A submittal does not guarantee that the firm will be contracted to perform any services but only serves notice that that firm desires to be considered.

**Contact for Questions:** Ms. Beth Shellman  
Town of Albion  
260.636.2246

### **Submittal requirements:**

1. Letter of Interest (required content and instructions follow)
2. One (1) signed Affirmative Action Certification and associated required documents for all items with Disadvantaged Business Enterprise (DBE) goals (sample form follows).

**Submit To:** Ms. Beth Shellman, Town Manager  
Town of Albion  
211 E. Park Drive  
P.O. Box 27  
Albion, IN 46701-0027

## **Selection Procedures:**

Consultants will be selected for work items further described herein, based on the evaluation of the Letter of Interest (LoI) and other required documents. The Consultant Selection Rating Form that will be used to evaluate and score the submittals is included for your reference.

To be eligible for consideration, the lead consultant must be prequalified by INDOT.

Note: If the Local Public Agency waives prequalification, additional steps as set out in the Local Public Agency Architectural and Engineering Firm Selection Procedures will be required.

## **Requirements for Letters of Interest (LoI)**

### **A. General instructions for Preparing and Submitting a Letter of Interest (LoI)**

1. Provide the information as set out in Item B below, in the same order listed, signed by an officer of the firm. Scanned signed documents or electronically applied signatures are both acceptable. Do not send additional forms, resumes, brochures, or other material unless otherwise noted in the item description.
2. LoI's shall be limited to a total of twelve (12) 8 ½" x 11" pages. Ten(10) pages for Identification and Qualification and two (2) pages for the combined Key staff and Project Approach unless otherwise noted in the Project Description.
3. LoI's must be received not later than "Response Due Date and Time" as shown in the RFP header shown above. Responses received after this deadline will not be considered. Submittals must include all required attachments to be considered for selection.

### **B. Letter of Interest Content**

#### **1. Identification and Qualifications**

- a. Provide the firm name, address of the responsible office from which the work will be performed and the name and email address of the contact person authorized to negotiate for the associated work.

- b. List all proposed sub consultants, their DBE status, and the percentage of work to be performed by the lead consultant and each sub consultant (sample Affirmative Action Certification follows). A listing of certified DBE's eligible to be considered for selection as prime consultants or sub-consultants for this RFP can be found at the Indiana Department of Transportation's (INDOT) website. ([http://www.in.gov/dot/div/legal/DBE/dbe\\_list.xls](http://www.in.gov/dot/div/legal/DBE/dbe_list.xls)).
- c. Provide personnel resumes and such additional information concerning qualifications as may be relevant to the project.

## 2. Key staff and Project approach

- a. List the Project Manager and other key staff members, including key sub consultant staff and include the percent of time the project manager will be committed for the contract, if selected. Include project engineers for important disciplines and staff members that will be responsible for the work.  
Address the experience of the key staff members on similar projects and the staff qualifications relative to the required item qualifications.
- b. Describe the capacity of your staff and their ability to perform the work in a timely manner relative to present workload and the availability of the assigned staff.
- c. Provide a description of your Project Approach relative to the advertised services. For project specific items confirm that the firm has visited the project site. For all items address your firm's technical understanding of the project or services, as related to your firm's qualifications.

## **Requirements for Affirmative Action Certification**

A completed Affirmative Action Certification form is required for all items that identify a DBE goal. The consultant must identify the DBE firms with which it intends to subcontract. Include the contract participation percentage of each DBE and list what the DBE will be subcontracted to perform on the Affirmative Action Certification Form. **Copies of DBE certifications, as issued by INDOT, for each firm listed are to be included as additional pages after the form.** If the consultant does not meet the DBE goal, the consultant must provide documentations on additional pages that it has made good faith efforts to achieve the DBE goal. Please review the DBE program based on any goals set and complete the DBE Affirmative Action Certification form as applicable. What constitutes good faith efforts is explained in detail within the DBE program information referred to above. If no goal is set then no Affirmative Action Certification form is required. Indiana Department of Transportation's (INDOT) DBE Program Information is available at the Indiana Department of Transportation's website.

A listing of certified DBE's eligible to be considered for selection as prime consultants or sub-consultants for this RFP can be found at the Indiana Department of Transportation's (INDOT) website. ([http://www.in.gov/dot/div/legal/DBE/dbe\\_list.xls](http://www.in.gov/dot/div/legal/DBE/dbe_list.xls))

**DBE subcontracting goals apply to all prime submitting consultants, regardless of the prime's status of DBE.**

## Work item details:

**Local Public Agency Name**      *Town of Albion*

**Project Location:**      *Town of Albion – Noble County Courthouse Square including the one block segments of Main Street, Orange Street, Jefferson Street, and York Street.*

**INDOT District covering project:** *Fort Wayne*

**INDOT DES #:**      *0500049*

**Project Phases Included:**      *Construction Engineering*

### Project Description:

*The project improvements include total reconstruction of each of the streets within the project limits, except for Orange Street. The travel lanes along Orange Street will be milled and resurfaced, as it is a state route. The proposed travel lanes will vary in width between 10 and 12 feet. Angled parking varying from 19 to 30 feet, will be provided on both sides of the streets, with the exception of the east side of Orange Street, which will consist of a 10-foot wide parallel parking lane. The horizontal alignments and vertical profiles of each street, for the most part, will remain unchanged.*

*The improvements also include new curb and gutter and a storm sewer system, which will connect with an existing storm sewer system along Orange Street and York Street. A sidewalk varying from 5 to 11.5 feet will be constructed on the outside of the courthouse square. The sidewalk along the outside of the square and along the west side of Orange Street will include a brick strip for visual appearance. A retaining wall will be constructed on the west side of York Street due to the elevation difference between the sidewalk and back of curb. Street lighting, including ornamental street lights, will be placed along both sides of the streets. Several deteriorating trees along the inside of the square will be removed and replaced. The concrete bench on the northwest corner of Main Street and Orange Street will be reconstructed between the columns. A PA system consisting of speakers around the courthouse square will installed for use during local*

*functions around the square. Curb ramps, driveways, steps, signage and pavement markings will also be included as part of the project. Ramps to businesses were placed along the project where site conditions allowed adequate clearances between the ramp and adjacent light poles and other business accesses.*

*The old, undersized water main will be replaced along these streets with a new 10" main. All existing coal chutes, vaults and cisterns, including the steam tunnel that crosses beneath Main Street, will be filled with appropriate construction material.*

*The project design elements meet all applicable level one design criteria. A level two design exception is required for the parking lane widths for the angled parking along Main Street, Jefferson Street and York Street. A level two design exception is also required for intersection sight distances not being met due to parking, and in two instances, for the line-of-sight falling just outside the right-of-way.*

**Estimated Construction Amount:** \$1,424,600.00

**Funding:** Federal Funding involved

**Term of Contract:** Length of Construction Contract plus 3 months

**DBE goal:** 3 %

**Required Prequalification Categories (Combination of Prime and Sub-Consultants):**

Construction Inspection currently has no defined criteria. Therefore the following shall constitute as the minimum requirements for an individual assigned under this agreement:

1. Indiana Licensed Professional Engineer, or
2. Graduate from an accredited college with a Bachelor's degree in Civil Engineering, or
3. Certified under INDOT's Certified Technician Program

# CONSULTANT SELECTION RATING FORM

Selection Rating for RFP No.

Des#

Consultant Name:

Services Description:

Evaluation Criteria to be Rated by Scorers					
Category	Scoring Criteria	Scale	Score	Weight	Weighted Score
<b>Past Performance</b>	<b>Performance evaluation score averages from historical performance data</b>				
	Quality score for similar work from performance database			12	
	Schedule score from performance database			7	
	Responsiveness score from performance database			7	
<b>Project Manager</b>	<b>Predicted ability to manage the project, based on: experience in size, complexity, type, subconsultants and documentation skills</b>			10	
	Demonstrated outstanding experience in similar type and complexity	2			
	Demonstrated high level of experience in similar type and complexity	1			
	Experience in similar type and complexity shown in resume	0			
	Experience in different type and lower complexity	-1			
	Insufficient Experience	-3			
<b>Approach to Project</b>	<b>Project understanding and innovation that gives cost and/or time savings.</b>			15	
	High level of understanding and viable innovative ideas proposed	2			
	High level of understanding of the project	1			
	Basic understanding of the project	0			
	Lack of project understanding	-3			
<b>Capacity of Team to do Work</b>	<b>Evaluation of team's personnel and equipment to perform the project on time</b>			20	
	Availability of more than adequate capacity that results in added value	1			
	Adequate capacity to meet schedule	0			
	Insufficient capacity to meet the schedule	-1			
<b>Team's Demonstrated Qualifications</b>	<b>Technical Expertise: Unique resources &amp; equipment that yield a relevant added value or efficiency to the deliverable</b>			15	
	Demonstrated outstanding expertise and resources identified for req'd services for value added benefit	2			
	Demonstrated high level of expertise and resources identified for req'd services for value added benefit	1			
	Expertise and resources at appropriate level	0			
	Insufficient expertise and/or resources	-3			
<b>Location</b>	<b>Location of assigned staff office relative to project</b>			5	
	Within 50 Miles	1			
	51-150 miles	0			
	151-500 miles	-1			
	Greater than 500 miles	-2			
<b>Weighted Sub-Total:</b>					

The scores assigned above represent my best judgement of the consultant's abilities for the rating categories.

Name: \_\_\_\_\_ Signed: \_\_\_\_\_

Title: \_\_\_\_\_ Date: \_\_\_\_\_

Request for Proposals No. \_\_\_\_\_  
Item No. \_\_\_\_\_

**AFFIRMATIVE ACTION CERTIFICATION**

I do hereby certify that it is the intention of my company to affirmatively seek out and consider DBEs certified in the State of Indiana, to participate as part of this proposal. (For listing of DBE certified firms, see [www.in.gov/dot/div/legal/DBE/dbe\\_list.xls](http://www.in.gov/dot/div/legal/DBE/dbe_list.xls).)

I understand and agree that all subconsulting in connection with this proposal, whether undertaken prior to or subsequent to the notice to proceed, shall be in accordance with the requirements for the Disadvantaged Business Enterprise Program, included elsewhere in this RFP. I understand and agree that no subcontracting shall be approved or commenced until the Department of Transportation has reviewed and approved the affirmative actions taken by my company or me.

I understand that utilization of certified DBEs is in addition to all other equal employment requirements of this RFP.

I acknowledge that this certification is to be made an integral part of this proposal.

I understand and agree that the submission of a blank certification may cause the proposal to be rejected.

I hereby certify that contact has been made with the certified DBEs listed in this certification, and that, if my company becomes the CONSULTANT, the certified DBEs have tentatively agreed to perform the services listed below.

I understand that neither my company nor I will be penalized for amounts achieved over or under the amount shown for **voluntary** DBE utilization that exceeds the goal.

After contract award, any change to the firms listed in this Affirmative Action Certification under race/gender conscious must have prior approval by INDOT's Economic Opportunity Section, Central Office.

**SUBCONSULTANTS**

**DBE SUBCONSULTANTS TO BE APPLIED TOWARD GOAL (RACE/GENDER CONSCIOUS)**

<u>Certified DBE Name &amp; Address</u>	<u>Service Planned</u>	<u>Planned percentage to be paid to DBE</u>
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**DBE SUBCONSULTANTS TO BE USED BEYOND GOAL (RACE/GENDER CONSCIOUS)**

<u>Certified DBE Name &amp; Address</u>	<u>Service Planned</u>	<u>Planned percentage to be paid to DBE</u>
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Total Percentage Credited toward DBE Goal (Race/Gender Conscious): \_\_\_\_\_

Total Percentage of Voluntary DBE Work Anticipated over DBE Goal (Race/Gender Neutral): \_\_\_\_\_

Name of Company: \_\_\_\_\_

By: \_\_\_\_\_ Date: \_\_\_\_\_